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THE  $b$ -WEAK COMPACTNESS OF WEAK BANACH-SAKS  
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*Abstract.* We characterize Banach lattices on which every weak Banach-Saks operator is  $b$ -weakly compact.

*Keywords:*  $b$ -weakly compact operator, weak Banach-Saks operator, Banach lattice,  $(b)$ -property, KB-space

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## 1. INTRODUCTION AND NOTATION

Many authors have studied the *Banach-Saks property*, the *weak Banach-Saks property* and operators with these properties (see [8], [10], [11], [12], [13]). The notions of *Banach-Saks property* and *weak Banach-Saks property* are introduced in [12]. Note that the later property was introduced for the first time in [8] with the name *Banach-Saks-Rosenthal property*.

A Banach space  $X$  is said to have the *Banach-Saks property* if every bounded sequence  $(x_n)$  in  $X$  has a subsequence  $(x_{n_k})$  which is Cesàro convergent. The origin of the Banach-Saks property can be traced back to a result of S. Mazur. If a sequence  $(x_n)$  in a Banach space is weakly convergent to some point  $x$ , then there is a sequence formed by convex combinations of  $(x_n)$  that converges in norm to  $x$ . It is proved that a space with the Banach-Saks property must be reflexive but not all reflexive spaces have the Banach-Saks property.

Also, a Banach space  $X$  is said to have the *weak Banach-Saks property* if every weakly null sequence  $(x_n)$  in  $X$  has a Cesàro convergent subsequence. Note that a Banach space with the *Banach-Saks property* satisfies the weak Banach-Saks property, and that not all spaces have the weak Banach-Saks property.

We say that an operator  $T: X \rightarrow Y$  is *weak Banach-Saks* if every weakly null sequence  $(x_n)$  in  $X$  has a subsequence such that  $(Tx_{n_k})$  is Cesàro convergent. As examples, the identity operator of the Banach lattice  $l^1$  is weak Banach-Saks but the identity operator of the Banach lattice  $l^\infty$  is not.

On the other hand, let us recall that an operator  $T$  from a Banach lattice  $E$  into a Banach space  $X$  is said to be *b-weakly compact* whenever  $T$  carries each b-order bounded subset of  $E$  into a relatively weakly compact subset of  $X$ . For information on this class of operators see [3], [4], [5].

Note that a b-weakly compact operator is not necessarily weak Banach-Saks. In fact, the identity operator of the Banach lattice  $L^2(c_0)$  is b-weakly compact (because  $L^2(c_0)$  is a KB-space), but it is not weak Banach-Saks (because  $L^2(c_0)$  does not have the weak Banach-Saks property). Conversely, there exists a weak Banach-Saks operator which is not b-weakly compact. In fact, the identity operator of  $c_0$  is weak Banach-Saks (because  $c_0$  has the weak Banach-Saks property) but it is not b-weakly compact (because  $c_0$  is not a KB-space).

The goal of this paper is to characterize Banach lattices on which each *weak Banach-Saks* operator is b-weakly compact. In another paper, we will look at the reciprocal problem. In fact, in this paper we will prove that if  $E$  and  $F$  are two Banach lattices such that the norm of  $E$  is order continuous, then each *weak Banach-Saks* operator  $T: E \rightarrow F$  is b-weakly compact if and only if  $E$  or  $F$  is a KB-space. As consequences, we will obtain some characterizations for KB-spaces. Also, we will characterize Banach lattices under which the second power of each *weak Banach-Saks* operator is b-weakly compact.

To state our results, we need to fix some notation and recall some definitions. Let  $E$  be a vector lattice. For each  $x, y \in E$  with  $x \leq y$ , the set  $[x, y] = \{z \in E: x \leq z \leq y\}$  is called an order interval. A subset of  $E$  is said to be order bounded if it is included in some order interval. Recall that a nonzero element  $x$  of a vector lattice  $E$  is discrete if the order ideal generated by  $x$  equals the subspace generated by  $x$ . The vector lattice  $E$  is discrete, if it admits a complete disjoint system of discrete elements.

A Banach lattice is a Banach space  $(E, \|\cdot\|)$  such that  $E$  is a vector lattice and its norm satisfies the following property: for each  $x, y \in E$  such that  $|x| \leq |y|$ , we have  $\|x\| \leq \|y\|$ . If  $E$  is a Banach lattice, its topological dual  $E'$ , endowed with the dual norm, is also a Banach lattice. A norm  $\|\cdot\|$  of a Banach lattice  $E$  is order continuous if for each generalized sequence  $(x_\alpha)$  such that  $x_\alpha \downarrow 0$  in  $E$ , the sequence  $(x_\alpha)$  converges to 0 for the norm  $\|\cdot\|$  where the notation  $x_\alpha \downarrow 0$  means that the sequence  $(x_\alpha)$  is decreasing, its infimum exists and  $\inf(x_\alpha) = 0$ .

Let us recall that a Banach lattice  $E$  is said to be a KB-space whenever every increasing norm bounded sequence of  $E^+$  is norm convergent. As an example, each reflexive Banach lattice is a KB-space.

We refer the reader to [1] for unexplained terminology on Banach lattice theory.

## 2. MAIN RESULTS

We will use the term operator  $T: E \rightarrow F$  between two Banach lattices to mean a bounded linear mapping. It is positive if  $T(x) \geq 0$  in  $F$  whenever  $x \geq 0$  in  $E$ . An operator  $T: E \rightarrow F$  is regular if  $T = T_1 - T_2$  where  $T_1$  and  $T_2$  are positive operators from  $E$  into  $F$ . It is well known that each positive linear mapping on a Banach lattice is continuous. For terminology concerning positive operators, we refer the reader to the excellent book of Aliprantis-Burkinshaw [1].

Recall that the definition of b-weakly compact operators is based on the notion of b-order bounded subsets. A subset  $A$  of a Banach lattice  $E$  is called b-order bounded if it is order bounded in the topological bidual  $E''$ . It is clear that every order bounded subset of  $E$  is b-order bounded. However, the converse is not true in general. A Banach lattice  $E$  is said to have the (b)-property if  $A \subset E$  is order bounded in  $E$  whenever it is order bounded in its topological bidual  $E''$ .

Let  $E$  be a Banach lattice and let  $X$  be a Banach space. An operator  $T: E \rightarrow X$  is said to be b-weakly compact whenever  $T$  carries each b-order bounded subset of  $E$  into a relatively weakly compact subset of  $X$ .

It follows from Aliprantis-Burkinshaw ([1], p. 222) that a Banach lattice  $E$  is lattice embeddable into another Banach lattice  $F$  whenever there exists a lattice homomorphism  $T: E \rightarrow F$  and there exist two positive constants  $K$  and  $M$  satisfying

$$K\|x\| \leq \|T(x)\| \leq M\|x\| \quad \text{for all } x \in E.$$

$T$  is called a lattice embedding from  $E$  into  $F$ . In this case  $T(E)$  is a closed sublattice of  $F$  which can be identified with  $E$ .

Note that each KB-space has the (b)-property but a Banach lattice with the (b)-property is not necessarily a KB-space. However, by Proposition 2.1 of [3], a Banach lattice  $E$  is a KB-space if and only if it has the (b)-property and its norm is order continuous.

We note that there exists a Banach lattice with an order continuous norm without the (b)-property. In fact, the norm of  $c_0$  is order continuous but  $c_0$  does not have the (b)-property.

On the other hand, the norm of  $l^\infty$  is not order continuous and  $l^\infty$  has the (b)-property, but does not contain a complemented copy of  $c_0$ .

Before stating our main results, we would like to recall that “ $E$  has an order continuous norm” does not imply “ $E$  has the weak Banach-Saks property”. In fact, it follows from [12] that  $E$  has the Banach-Saks property if, and only if,  $E$  has the

*weak Banach-Saks property* and is reflexive. By way of contradiction, suppose that  $E$  is a KB-space implies  $E$  has the weak Banach-Saks property. Then every reflexive Banach lattice would have the *Banach-Saks property*, and this is impossible (because Baernstein's space is a reflexive Banach lattice without the *Banach-Saks property*). So, there is an operator which is not *weak Banach-Saks*, however,  $E$  has an order continuous norm. In fact,  $L^2(c_0)$  has an order continuous norm, but its identity operator is not *weak Banach-Saks*.

Also, the class of weak Banach-Saks operators is a two sided ideal of the space of all operators on a Banach lattice.

**Theorem 2.1.** *Let  $E$  be a Banach lattice with an order continuous norm, and  $F$  a Banach lattice. Then the following assertions are equivalent:*

- (1) *Each operator  $T: E \rightarrow F$  is b-weakly compact.*
- (2) *Each weak Banach-Saks operator  $T: E \rightarrow F$  is b-weakly compact.*
- (3) *Each positive weak Banach-Saks operator  $T: E \rightarrow F$  is b-weakly compact.*
- (4) *One of the following assertions holds:*
  - (a)  *$E$  is a KB-space.*
  - (b)  *$F$  is a KB-space.*

**Proof.** (1)  $\implies$  (2) Obvious.

(2)  $\implies$  (3) Obvious.

(3)  $\implies$  (4) By way of contradiction, we suppose that neither  $E$  nor  $F$  is a KB-space and we construct a positive weak Banach-Saks operator which is not b-weakly compact. In fact, since  $E$  has an order continuous norm, Proposition 2.1 of [3] implies that  $E$  does not have the (b)-property. So it follows from Lemma 2.1 of [7] that the Banach lattice  $E$  contains a complemented copy of  $c_0$ . Denote by  $P: E \rightarrow c_0$  the positive projection of  $E$  in  $c_0$  and by  $i: c_0 \rightarrow E$  the canonical injection of  $c_0$  into  $E$ .

As  $F$  is not a KB-space, Theorem 4.61 of [1] implies that  $c_0$  is lattice embeddable in  $F$ , so there exists a lattice embedding  $T$  from  $c_0$  into  $F$ . Hence, there exists a constant  $K > 0$  such that  $\|T((\gamma_n))\| \geq K\|(\gamma_n)\|_\infty$  for all  $(\gamma_n) \in c_0$ . Note that the embedding  $T: c_0 \rightarrow F$  is not b-weakly compact. Otherwise, as the canonical basis  $(e_n)$  of  $c_0$  is a disjoint b-order bounded sequence, it would follow from Proposition 2.8 of [3] that  $\lim_n \|T((e_n))\| = 0$ , but this is false because  $\|T((e_n))\| \geq K\|(e_n)\|_\infty = K$  for each  $n$ .

Now, we consider the composed operator  $T \circ P: E \rightarrow c_0 \rightarrow F$ . Since  $T \circ P = T \circ \text{Id}_{c_0} \circ P$  and the identity operator  $\text{Id}_{c_0}: c_0 \rightarrow c_0$  is weak Banach-Saks, hence  $T \circ P$  is also weak Banach-Saks. But it is not a b-weakly compact operator. Otherwise, the composed operator  $T \circ P \circ i$ , which is exactly the embedding  $T: c_0 \rightarrow F$ , would be b-weakly compact, but this is a contradiction.

(4a)  $\implies$  (1) Follows from Proposition 2.1 of [4].

(4b)  $\implies$  (1) Follows from Corollary 2.3 of [5].

**Remark.** The assumption “ $E$  with an order continuous norm” is essential in Theorem 2.1. In fact, each positive operator  $T$  from  $l^\infty$  into  $c_0$  is b-weakly compact, but neither  $l^\infty$  nor  $c_0$  is a KB-space.

As consequences, we obtain the following characterizations of KB-spaces.

**Corollary 2.2.** *Let  $E$  be a Banach lattice with an order continuous norm. Then the following assertions are equivalent:*

- (1) *Each operator  $T: E \rightarrow E$  is b-weakly compact.*
- (2) *Each weak Banach-Saks operator  $T: E \rightarrow E$  is b-weakly compact.*
- (3) *Each positive weak Banach-Saks operator  $T: E \rightarrow E$  is b-weakly compact.*
- (4)  *$E$  is a KB-space.*

**Corollary 2.3.** *Let  $E$  be a Banach lattice with an order continuous norm. Then the following assertions are equivalent:*

- (1) *Each operator  $T: E \rightarrow c_0$  is b-weakly compact.*
- (2) *Each weak Banach-Saks operator  $T: E \rightarrow c_0$  is b-weakly compact.*
- (3) *Each positive weak Banach-Saks operator  $T: E \rightarrow c_0$  is b-weakly compact.*
- (4)  *$E$  is a KB-space.*

**Corollary 2.4.** *Let  $F$  be a Banach lattice. Then the following assertions are equivalent:*

- (1) *Each operator  $T: c_0 \rightarrow F$  is b-weakly compact.*
- (2) *Each weak Banach-Saks operator  $T: c_0 \rightarrow F$  is b-weakly compact.*
- (3) *Each positive weak Banach-Saks operator  $T: c_0 \rightarrow F$  is b-weakly compact.*
- (4)  *$F$  is a KB-space.*

Now, we note that there exists an operator which is *weak Banach-Saks* but its second power is not b-weakly compact. In fact, the identity operator of the Banach lattice  $c_0$  is *weak Banach-Saks*, but its second power which is also the identity operator of  $c_0$  is not b-weakly compact.

In the next result we give necessary and sufficient conditions under which the second power of each *weak Banach-Saks* operator is b-weakly compact.

**Theorem 2.5.** *Let  $E$  be a Banach lattice with an order continuous norm. Then the following assertions are equivalent:*

- (1) For all positive operators  $S$  and  $T$  from  $E$  into  $E$  with  $0 \leq S \leq T$  and  $T$  weak Banach-Saks,  $S$  is  $b$ -weakly compact.
- (2) Each positive weak Banach-Saks operator  $T: E \rightarrow E$  is  $b$ -weakly compact.
- (3) For each positive weak Banach-Saks operator  $T: E \rightarrow E$ , the second power  $T^2$  is  $b$ -weakly compact.
- (4)  $E$  is a KB-space.

*Proof.* (1)  $\implies$  (2) Let  $T: E \rightarrow E$  be a positive weak Banach-Saks operator. Since  $0 \leq T \leq T$ , by our hypothesis  $T$  is  $b$ -weakly compact.

(2)  $\implies$  (3) By our hypothesis  $T$  is  $b$ -weakly compact and hence  $T^2$  is  $b$ -weakly compact.

(3)  $\implies$  (4) By way of contradiction, suppose that  $E$  is not a KB-space. As the norm of  $E$  is order continuous, it follows from Proposition 2.4 of [4] and Lemma 2.1 of [7] that  $E$  contains a complemented copy of  $c_0$ , and there exists a positive projection  $P: E \rightarrow c_0$ . Denote by  $i: c_0 \rightarrow E$  the canonical injection.

Consider the operator  $T = i \circ P: E \rightarrow c_0 \rightarrow E$ . Clearly the operator  $T$  is weak Banach-Saks (because  $T = i \circ \text{Id}_{c_0} \circ P$ ) but it is not  $b$ -weakly compact. Otherwise, the operator  $P \circ T \circ i = \text{Id}_{c_0}$  would be  $b$ -weakly compact, and this is a contradiction. Hence, the operator  $T^2 = T$  is not  $b$ -weakly compact.

(4)  $\implies$  (1) Follows from Corollary 2.2 and [3], Corollary 2.9.

Recall from [6] that an operator  $T$  from a Banach lattice  $E$  into a Banach space  $X$  is said to be  $b$ -AM-compact if it carries each  $b$ -order bounded subset of  $E$  into a relatively compact subset of  $X$ .

Note that each  $b$ -AM-compact operator is  $b$ -weakly compact but the converse is false in general. In fact, the identity operator of the Banach lattice  $L^1[0, 1]$  is  $b$ -weakly compact (because  $L^1[0, 1]$  is a KB-space), but it is not  $b$ -AM-compact (because  $L^1[0, 1]$  is not a discrete KB-space). Also, there exists a weak Banach-Saks operator which is not  $b$ -AM-compact. In fact, the identity operator of the Banach lattice  $c_0$  is weak Banach-Saks but it is not  $b$ -AM-compact (because  $c_0$  is not a discrete KB-space).

However, we have the following necessary conditions.

**Theorem 2.6.** *Let  $E$  be a Banach lattice with an order continuous norm and let  $F$  be a Banach lattice. If each positive weak Banach-Saks operator  $T: E \rightarrow F$  is  $b$ -AM-compact, then one of the following assertions holds:*

- (1)  $E$  is a KB-space.
- (2)  $F$  is a KB-space.

*Proof.* Suppose that neither  $E$  nor  $F$  is a KB-space. Consider the same operator  $T \circ P$  as that used in the proof of Theorem 2.1. This operator is positive and weak Banach-Saks but it is not b-AM-compact (because it is not b-weakly compact).

*Remark.* The assumption “ $E$  with an order continuous norm” is essential in Theorem 2.6. In fact, each positive operator  $T: l^\infty \rightarrow c_0$  is b-AM-compact, but neither  $l^\infty$  nor  $c_0$  is a KB-space.

*Remark.* The converse of Theorem 2.6 is false, i.e. there exist KB-spaces  $E$  and  $F$  such that a positive weak Banach-Saks operator  $T: E \rightarrow F$  is not necessarily b-AM-compact. In fact, it follows from Theorem 5 of [10] that there exists a positive operator  $T: L^1[0, 1] \rightarrow l^\infty$  which is not b-AM-compact. However, the operator  $T: L^1[0, 1] \rightarrow l^\infty$  is weak Banach-Saks and  $L^1[0, 1]$  is a KB-space. As another example, put  $E = L^1[0, 1] \oplus l^2$ ; the identity operator of the Banach lattice  $E$  is weak Banach-Saks, but it is not b-AM-compact. However,  $E$  is KB-space.

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