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## Limited $p$ -converging operators and relation with some geometric properties of Banach spaces

MOHAMMAD B. DEGHANI, SEYED M. MOSHTAGHOUN

*Abstract.* By using the concepts of limited  $p$ -converging operators between two Banach spaces  $X$  and  $Y$ ,  $L_p$ -sets and  $L_p$ -limited sets in Banach spaces, we obtain some characterizations of these concepts relative to some well-known geometric properties of Banach spaces, such as  $*$ -Dunford–Pettis property of order  $p$  and Pelczyński’s property of order  $p$ ,  $1 \leq p < \infty$ .

*Keywords:* Gelfand–Phillips property; Schur property;  $p$ -Schur property; weakly  $p$ -compact set; reciprocal Dunford–Pettis property of order  $p$

*Classification:* 47L05, 46B25

### 1. Introduction

Suppose that  $X$  is a Banach space and  $1 \leq p \leq \infty$ . The space of all weakly  $p$ -summable sequences in  $X$  is defined by

$$l_p^{\text{weak}}(X) := \{(x_n) : (x_n, x^*) \in l_p, \forall x^* \in X^*\}.$$

This is a Banach space with norm

$$\|(x_n)\|_p^{\text{weak}} = \sup \left\{ \left( \sum_{n=1}^{\infty} |\langle x_n, x^* \rangle|^p \right)^{1/p} : \|x^*\| \leq 1 \right\}.$$

Note that for  $p = \infty$ ,  $l_{\infty}^{\text{weak}}(X) = l_{\infty}(X)$  is the Banach space of all (weakly) bounded sequences in  $X$  with supremum norm, see [10, page 33]. Moreover, by  $c_0^{\text{weak}}(X)$  we represent the closed subspace of  $l_{\infty}(X)$  containing all weakly null sequences in  $X$ .

An operator  $T$  between two Banach spaces  $X$  and  $Y$  is said to be  $p$ -converging if it transfers weakly  $p$ -summable sequences into norm null sequences. The class of all  $p$ -converging operators from  $X$  into  $Y$  is denoted by  $C_p(X, Y)$ . Also  $T$  is

called  $p$ -summing if there is a constant  $c \geq 0$  such that for all choices of  $(x_k)_{k=1}^n$  in  $X$  we have

$$\left( \sum_{k=1}^n \|Tx_k\|^p \right)^{1/p} \leq c \sup \left\{ \left( \sum_{k=1}^n |\langle x_k, x^* \rangle|^p \right)^{1/p} : \|x^*\| \leq 1 \right\}.$$

The set of all  $p$ -summing operators from  $X$  into  $Y$  is denoted by  $\Pi_p(X, Y)$ .

For each  $1 \leq p < \infty$  a sequence  $(x_n)$  in a Banach space  $X$  is said to be weakly  $p$ -convergent to an  $x \in X$  if the sequence  $(x_n - x)$  is weakly  $p$ -summable, i.e.,  $(x_n - x) \in l_p^{\text{weak}}(X)$ . The weakly  $\infty$ -convergent sequences are simply the weakly convergent sequences. Also, a bounded set  $K$  in a Banach space is said to be relatively weakly  $p$ -compact,  $1 \leq p \leq \infty$ , if every sequence in  $K$  has a weakly  $p$ -convergent subsequence, see [3]. If the limit point of each weakly  $p$ -convergent subsequence is in  $K$ , then  $K$  is weakly  $p$ -compact set. Moreover, according to [4], we say that a Banach space  $X \in \mathcal{W}_p$  if the closed unit ball  $B_X$  of  $X$  is a weakly  $p$ -compact set. A bounded operator  $T$  from  $X$  into  $Y$  is called weakly  $p$ -compact,  $1 \leq p \leq \infty$ , if  $T(B_X)$  is relatively weakly  $p$ -compact. The space of all weakly  $p$ -compact operators from  $X$  into  $Y$  is denoted by  $W_p(X, Y)$ ; while the space of all bounded operators and weakly compact operators from  $X$  into  $Y$  are denoted by  $L(X, Y)$  and  $W(X, Y)$ , respectively. Weakly  $\infty$ -compact operators are precisely those  $T \in L(X, Y)$  for which  $T(B_X)$  is relatively weakly compact, that is,  $W_\infty(X, Y) = W(X, Y)$ .

A Banach space  $X$  has the Dunford–Pettis (DP) property, if every weakly compact operator  $T$  from  $X$  into arbitrary Banach space  $Y$  is a Dunford–Pettis operator, that is,  $T$  carries weakly convergent sequences into norm convergent ones. Moreover, if  $1 \leq p \leq \infty$ , the Banach space  $X$  has the Dunford–Pettis property of order  $p$  ( $DP_p$ ) if for each Banach space  $Y$ , every weakly compact operator  $T: X \rightarrow Y$  is  $p$ -converging; in other words  $W(X, Y) \subseteq C_p(X, Y)$ , see [3]. By definition,  $\infty$ -converging operators are equal to Dunford–Pettis ones. So the Dunford–Pettis property of order  $\infty$  is the same as DP property. Every Banach space with DP property, such as the sequence spaces  $c_0$  and  $l_1$ , have the  $DP_p$  property, see [3].

Also the Banach space  $X$  has the Schur property if every weakly null sequence in  $X$  converges in norm. The simplest Banach space with the Schur property is  $l_1$ . A Banach space  $X$  has the  $p$ -Schur property,  $1 \leq p \leq \infty$ , if every weakly  $p$ -compact subset of  $X$  is compact. In other words, if  $1 \leq p < \infty$ ,  $X$  has the  $p$ -Schur property if and only if every sequence  $(x_n) \in l_p^{\text{weak}}(X)$  is a norm null sequence, for example,  $l_p$  has the 1-Schur property. Moreover,  $X$  has the  $\infty$ -Schur property if and only if every sequence in  $c_0^{\text{weak}}(X)$  is norm null. So,

$\infty$ -Schur property coincides with the Schur property. Also one note that every Schur space has the  $p$ -Schur property for all  $p \geq 1$ , see [6].

A subset  $K$  of a Banach space  $X$  is called limited (or Dunford–Pettis (DP)), if for each weak\* null (weak null, respectively) sequence  $(x_n^*)$  in  $X^*$ ,

$$\lim_{n \rightarrow \infty} \sup_{x \in K} |\langle x, x_n^* \rangle| = 0.$$

In particular, a sequence  $(x_n) \subset X$  is limited if and only if  $\langle x_n, x_n^* \rangle \rightarrow 0$  for all weak\*-null sequences  $(x_n^*)$  in  $X^*$ .

In general, every relatively compact subset of  $X$  is limited and so is Dunford–Pettis. If every limited subset of  $X$  is relatively compact, then  $X$  has the Gelfand–Phillips (GP) property. For example the classical Banach spaces  $c_0$  and  $l_1$  have the GP property and every Schur space and spaces containing no copy of  $l_1$ , such as reflexive spaces have the same property, see [2]. The reader can find some useful and additional properties of limited and DP sets and Banach spaces with the Schur and GP properties in [1], [11], [12], [15], [19], [20], [22], [24].

In this note, using the concepts of limited  $p$ -converging operators between Banach spaces and  $L_p$ -limited subsets in dual of Banach spaces, we obtain some characterizations of the  $DP_p^*$  property of  $X$ . We shall also obtain some necessary and sufficient conditions for Pelczyński’s property (V) of order  $p$  which has been introduced and studied in [18]. In particular, we will present a new class of Banach spaces with Pelczyński’s property (V) of order  $p$ . More precisely, we will prove that if  $X \in \mathcal{W}_p$  and  $Y$  is a Banach space with Pelczyński’s property (V) of order  $p$  such that  $L(X, Y^*) = \Pi_p(X, Y^*)$ , then  $X \otimes_\pi Y$  has Pelczyński’s property (V) of order  $p$ .

## 2. Main results

An operator  $T \in L(X, Y)$  is called limited completely continuous if it carries limited and weakly null sequences in  $X$  to norm null ones in  $Y$ . The class of all limited completely continuous operators from  $X$  into  $Y$  is denoted by  $L_{cc}(X, Y)$ , see [23]. Also, an operator  $T \in L(X, Y)$  is limited  $p$ -converging if it transfers limited and weakly  $p$ -summable sequences into norm null sequences, see [14]. We denote the space of all limited  $p$ -converging operators from  $X$  into  $Y$  by  $C_{lp}(X, Y)$ .

It is clear that every weakly  $p$ -compact operator is weakly compact. On the other hand by [23, Corollary 2.5] every weakly compact operator is limited completely continuous. Also limited completely continuous operators are limited  $p$ -converging. Therefore we have

$$W_p(X, Y) \subseteq W(X, Y) \subseteq L_{cc}(X, Y) \subseteq C_{lp}(X, Y).$$

**Theorem 2.1.** *The following statements for any bounded operator  $T: X \rightarrow Y$  are equivalent.*

- (1)  $T \in C_{lp}(X, Y)$ .
- (2) Operator  $T$  transfers limited weakly  $p$ -compact sets into relatively norm compact ones.
- (3) If  $S: Z \rightarrow X$  is limited weakly  $p$ -compact operator, i.e.,  $S(B_Z)$  is limited and weakly  $p$ -compact, then  $TS$  is compact.
- (4) If  $S: l_1 \rightarrow X$  is limited weakly  $p$ -compact, then  $TS$  is compact.

PROOF: (1)  $\Rightarrow$  (2) Let  $A \subset X$  be limited weakly  $p$ -compact and  $(Tx_n)$  is a sequence in  $T(A)$ . Since  $A$  is weakly  $p$ -compact, we conclude that there is a subsequence  $(x_{n_k})$  of  $(x_n)$  and  $x_0 \in X$  such that  $(x_{n_k} - x_0) \in l_p^{\text{weak}}(X)$ . By assumption,  $\|Tx_{n_k} - Tx_0\| \rightarrow 0$  which implies that  $T(A)$  is relatively compact.

(2)  $\Rightarrow$  (3) and (3)  $\Rightarrow$  (4) are clear.

(4)  $\Rightarrow$  (1) Assume that  $(x_n)$  is limited weakly  $p$ -summable. We shall prove that  $\|Tx_n\| \rightarrow 0$ . Define

$$S: l_1 \rightarrow X, \quad S(\alpha_1, \alpha_2, \dots) = \sum_{n=1}^{\infty} \alpha_n x_n.$$

First, note that  $S$  is well defined, since  $(x_n)$  is weakly  $p$ -summable. We claim that  $S$  is limited weakly  $p$ -compact.

Since  $(x_n)$  is limited and

$$S(B_{l_1}) = \left\{ \sum_{n=1}^{\infty} \alpha_n x_n : \sum_{n=1}^{\infty} |\alpha_n| \leq 1 \right\},$$

it follows that  $S$  is a limited operator. Assume that  $q > 1$  such that  $1/p + 1/q = 1$ . It is easy to see that the set

$$\left\{ \sum_{n=1}^{\infty} \alpha_n x_n : \sum_{n=1}^{\infty} |\alpha_n|^q \leq 1 \right\}$$

is the continuous image by the natural operator associated to  $(\alpha_n) \in B_{l_q}$  and so is weakly  $p$ -compact, see e.g. [10]. On the other hand, it is clear that

$$\left\{ \sum_{n=1}^{\infty} \alpha_n x_n : \sum_{n=1}^{\infty} |\alpha_n| \leq 1 \right\} \subseteq \left\{ \sum_{n=1}^{\infty} \alpha_n x_n : \sum_{n=1}^{\infty} |\alpha_n|^q \leq 1 \right\}.$$

It implies that  $S(B_{l_1})$  is relatively weakly  $p$ -compact. Then by (4) the operator  $TS$  is compact. If  $(e_n)$  is the standard basis for  $l_1$ , then each subsequence  $(e_{n_k})$  of  $(e_n)$ , has a new subsequence, which is denoted again by  $(e_{n_k})$ , such that

$(Tx_{n_k}) = (TSe_{n_k})$  is norm convergent. Since the sequence  $(Tx_n)$  is weakly null it follows that  $\|Tx_n\| \rightarrow 0$ .  $\square$

A Banach space  $X$  is said to have the  $DP^*$ -property of order  $p$ , for  $1 \leq p \leq \infty$ , if all weakly  $p$ -compact sets in  $X$  are limited. In short, we say that  $X$  has the  $DP_p^*$  property, see [13]. It is clear that every  $p$ -converging operator is limited  $p$ -converging, but the converse in general is false. For example, let  $T$  be the identity operator on  $c_0$ . By [6, Corollary 2.8]  $c_0$  does not have the  $p$ -Schur property. Then  $T$  is not  $p$ -converging while  $T \in C_{1p}(c_0)$ , since  $c_0$  has the GP property.

In the following, we give a characterization of this converse assertion, with respect to the  $DP_p^*$  property of Banach spaces.

**Theorem 2.2** ([13]). *Let  $1 \leq p \leq \infty$ . The Banach space  $X$  has the  $DP_p^*$  property if and only if  $\langle x_n, x_n^* \rangle \rightarrow 0$  as  $n \rightarrow \infty$  for all  $(x_n) \in l_p^{\text{weak}}(X)$  and all weak\* null sequence  $(x_n^*)$  in  $X^*$ .*

**Theorem 2.3.** *The Banach space  $X$  has the  $DP_p^*$  property if and only if  $C_p(X, Y) = C_{1p}(X, Y)$  for every Banach space  $Y$ .*

PROOF: Let  $T \in C_{1p}(X, Y)$  and  $(x_n) \in l_p^{\text{weak}}(X)$ . Theorem 2.2 implies that  $(x_n)$  is limited and so  $\|Tx_n\| \rightarrow 0$ . Hence  $T \in C_p(X, Y)$ .

Conversely, if  $X$  does not have the  $DP_p^*$  property, then there are  $(x_n) \in l_p^{\text{weak}}(X)$  and a weak\*-null sequence  $(x_n^*)$  in  $X^*$  and  $\varepsilon > 0$  such that  $|\langle x_n, x_n^* \rangle| > \varepsilon$  for all integer  $n$ . Define  $T: X \rightarrow c_0$  by  $Tx = (\langle x, x_n^* \rangle)$  and let  $A$  be a limited subset of  $X$ . Then  $T(A)$  is also limited in  $c_0$ . Since  $c_0$  has the GP property,  $T(A)$  is relatively compact. Theorem 2.1 shows that  $T \in C_{1p}(X, c_0)$ . Moreover,  $\|Tx_n\| \geq |\langle x_n, x_n^* \rangle| \geq \varepsilon$ . Therefore  $T \notin C_p(X, c_0)$ , which completes the proof.  $\square$

Recall that according to [17], a bounded subset  $K$  of a Banach space  $X$  is  $p$ -limited if for every  $(x_n^*) \in l_p^{\text{weak}}(X^*)$  there exists  $(\alpha_n) \in l_p$  such that  $|\langle x, x_n^* \rangle| \leq \alpha_n$  for all  $x \in K$  and all  $n \in \mathbb{N}$ . Equivalently,  $K$  is  $p$ -limited if

$$\limsup_n \sup_{x \in K} |\langle x, x_n^* \rangle| = 0$$

for every  $(x_n^*) \in l_p^{\text{weak}}(X^*)$ .

It is clear that every limited set and every Dunford–Pettis set are  $p$ -limited. We refer to [9] for more information about  $p$ -limited subsets of Banach spaces.

**Theorem 2.4.** *Let  $X^*$  has the  $DP_p^*$  property. If  $T: X \rightarrow Y$  and  $T(B_X)$  is not  $p$ -limited, then  $T$  fixes a copy of  $l_1$ .*

PROOF: By assumptions, there exist  $\varepsilon > 0$ ,  $(y_k^*) \in l_p^{\text{weak}}(Y^*)$  and a sequence  $(x_k) \subset B_X$  such that  $|\langle Tx_k, y_k^* \rangle| \geq \varepsilon$  for all integers  $k$ . We claim that  $(Tx_n)$  does not have a weakly Cauchy subsequence. Otherwise, by passing to subsequence,

we can assume that the sequence  $(Tx_n)$  is weakly Cauchy. For each  $m \in \mathbb{N}$ ,  $\lim_{n \rightarrow \infty} \langle Tx_m, y_n^* \rangle = 0$ . Therefore there is an  $n_m \in \mathbb{N}$  such that  $|\langle Tx_m, y_{n_m}^* \rangle| < \varepsilon/2$ . We also have

$$|\langle Tx_{n_m} - Tx_m, y_{n_m}^* \rangle| \geq |\langle Tx_{n_m}, y_{n_m}^* \rangle| - |\langle Tx_m, y_{n_m}^* \rangle| \geq \varepsilon - \frac{\varepsilon}{2} = \frac{\varepsilon}{2}$$

for all  $m \in \mathbb{N}$ . Since the sequence  $(x_{n_m} - x_m)_{m \in \mathbb{N}}$  is weakly null and  $(y_{n_m}^* \circ T) \in l_p^{\text{weak}}(X^*)$ , it follows from the  $DP_p^*$  property of  $X^*$  that

$$\lim_{m \rightarrow \infty} \langle Tx_{n_m} - Tx_m, y_{n_m}^* \rangle = 0,$$

which is a contradiction. Hence  $(x_n)$  has no weakly Cauchy subsequence, since the image of a weakly Cauchy sequence is weakly Cauchy. Therefore the Rosenthal’s  $l_1$ -theorem implies the existence of a subsequence of  $(x_n)$  and a subsequence of  $(Tx_n)$  which is equivalent to the usual  $l_1$  basis. Therefore a copy of  $l_1$  in  $Y$  is fixed by  $T$ . □

Let us recall that according to [18] a bounded subset  $K$  of  $X^*$  is said to be  $p$ -(V) set if

$$\lim_n \sup_{x^* \in K} |\langle x_n, x^* \rangle| = 0$$

for all  $(x_n) \in l_p^{\text{weak}}(X)$ . The authors in [18] have used this notion to define Pelczyński’s property (V) of order  $p$  as a  $p$ -version of Pelczyński’s property (V). Also, a bounded subset  $K$  of  $X^*$  is called an  $L$ -set, if each weakly null sequence  $(x_n)$  in  $X$  tends to 0 uniformly on  $K$ , see [12]. It is clear that  $\infty$ -(V) sets are  $L$ -sets. According to this point of view in this article we choose the name  $L_p$ -sets instead of the  $p$ -(V) subsets of  $X^*$ .

Obviously, a sequence  $(x_n^*) \in X^*$  is a  $L_p$ -set if and only if  $\lim_{n \rightarrow \infty} \langle x_n, x_n^* \rangle = 0$  for all  $(x_n) \in l_p^{\text{weak}}(X)$ .

In the following, we introduce the notion of  $L_p$ -limited subsets of the dual space  $X^*$ .

**Definition 2.5.** Let  $1 \leq p \leq \infty$ . A subset  $K$  of a dual space  $X^*$  of  $X$  is  $L_p$ -limited set if

$$\lim_n \sup_{x^* \in K} |\langle x_n, x^* \rangle| = 0$$

for every limited sequence  $(x_n) \in l_p^{\text{weak}}(X)$ .

For example, the Schur property of  $l_1$  implies that the closed unit ball of  $l_\infty = l_1^*$  is an  $L_p$ -set and so  $L_p$ -limited set. The closed unit ball of  $c_0^* = l_1$  shows that  $L_p$ -limited sets are not  $L_p$ -sets, in general. In fact  $c_0$  has the GP property and so every limited weakly null sequence in  $c_0$  is norm null, hence the closed unit ball of  $c_0^*$  is an  $L_p$ -limited set. But  $c_0$  fail to have the  $p$ -Schur property. Then this

closed unit ball is not an  $L_p$ -set. The reader is referred to [8] for more information about the relationships between  $L_p$ -sets and  $L_p$ -limited sets.

**Proposition 2.6.** *A Banach space  $X$  has the  $p$ -Schur property if and only if every bounded subset of  $X^*$  is an  $L_p$ -set. In particular, the closed unit ball of each  $l_p$  space is an  $L_1$ -set.*

PROOF: If  $X$  has the  $p$ -Schur property and  $(x_n) \in l_p^{\text{weak}}(X)$ , then

$$\sup\{|\langle x_n, x^* \rangle| : x^* \in B_{X^*}\} = \|x_n\| \rightarrow 0.$$

Thus  $B_{X^*}$  is an  $L_p$ -set. So, every bounded subset of  $X^*$  is an  $L_p$ -set. The converse is proven in a similar way.

It is clear that, for every Banach space  $X$ , every  $p$ -limited subset of  $X^*$  is an  $L_p$ -set and the closed convex hull of an  $L_p$ -limited set is also  $L_p$ -limited. Furthermore, every  $L_p$ -limited set in  $X^*$  is bounded. In fact, if  $K \subseteq X^*$  is an  $L_p$ -limited set which is unbounded, then there are  $(x_n^*)$  in  $K$  and  $(y_n)$  in  $B_X$  such that  $|\langle y_n, x_n^* \rangle| > n^2$  for all  $n$ . Let  $x_n = y_n/n^2$ . Then

$$\sum_{n=1}^{\infty} \|x_n\|^p = \sum_{n=1}^{\infty} \frac{1}{n^{2p}} \|y_n\|^p < \infty.$$

Hence  $(x_n)$  is a limited sequence in  $l_p^{\text{weak}}(X)$ . Therefore

$$0 = \lim_{n \rightarrow \infty} \sup_{x_n^* \in K} |\langle x_n, x_n^* \rangle| \geq \lim_{n \rightarrow \infty} |\langle x_n, x_n^* \rangle| = \lim_{n \rightarrow \infty} \frac{1}{n^2} |\langle y_n, x_n^* \rangle| > 1.$$

This is a contradiction. □

**Theorem 2.7.** *The Banach space  $X$  has the  $DP_p^*$  property if and only if every  $L_p$ -limited subset of  $X^*$  is  $L_p$ -set.*

PROOF: It is clear that, for an operator  $T : X \rightarrow Y$ ,  $T \in C_{1p}(X, Y)$  if and only if  $T^*(B_{Y^*})$  is an  $L_p$ -limited set. Also,  $T \in C_p(X, Y)$  if and only if  $T^*(B_{Y^*})$  is an  $L_p$ -set. Now, assume that every  $L_p$ -limited subset of  $X^*$  is  $L_p$ -set and  $T : X \rightarrow Y$  is a limited  $p$ -converging operator. Then  $T^*(B_{Y^*})$  is an  $L_p$ -limited set. By assumption  $T^*(B_{Y^*})$  is an  $L_p$ -set. Hence  $T$  is  $p$ -converging. Therefore Theorem 2.3 completes the proof. The converse follows easily from Theorem 2.2. □

In [16] A. Grothendieck introduced the reciprocal Dunford–Pettis (RDP) property: a Banach space  $X$  has the RDP property if for every Banach space  $Y$ , every completely continuous operator  $T : X \rightarrow Y$  is weakly compact. Recall that Banach space  $X$  has Pelczyński property (V) if for every Banach space  $Y$ , every unconditionally converging operator  $T \in L(X, Y)$ , (i.e. any operator mapping



weakly unconditionally converging series into unconditionally converging ones) is weakly compact.

The concept of Pelczyński property (V) of order  $p$  has been introduced in [18]. In fact, a Banach space  $X$  has the Pelczyński property (V) of order  $p$  (property  $p$ -(V)) if  $C_p(X, Y) \subseteq W(X, Y)$  for every Banach space  $Y$ .

Note that property 1-(V) is equivalent to Pelczyński property (V) and  $\infty$ -(V) is equivalent to the RDP property. Also, since every completely continuous operator is  $p$ -converging, then every Banach space which has property  $p$ -(V) for some  $1 \leq p \leq \infty$  has the RDP property. Then we have the following well-known result; every Banach space  $X$  with Pelczyński (V) property has the RDP property.

Moreover, every reflexive Banach space has property  $p$ -(V) and if  $X$  is non reflexive with the  $p$ -Schur property, then  $X$  does not have property  $p$ -(V); indeed, the identity operator  $i: X \rightarrow X$  is  $p$ -converging, but it is not weakly compact.

**Theorem 2.8** ([18, Theorem 2.4]). *A Banach space  $X$  has property  $p$ -(V) if and only if every  $L_p$ -set in  $X^*$  is relatively weakly compact.*

**Theorem 2.9.** *If a Banach space  $X \in \mathcal{W}_p$ , then every  $L_p$ -set in  $X^*$  is relatively compact.*

PROOF: Suppose that  $X \in \mathcal{W}_p$  and  $K \subseteq X^*$  is an  $L_p$ -set. Then  $K$  is bounded. Without loss of generality, we may assume that  $K$  is weak\* closed and so is weak\* compact. Define

$$T: X \rightarrow C(K), \quad \langle Tx, x^* \rangle = \langle x, x^* \rangle, \quad x \in X, \quad x^* \in K.$$

Clearly,  $T$  is bounded. Indeed,

$$\|T\| = \sup_{\|x\| \leq 1} \|Tx\| = \sup_{\|x\| \leq 1} \left( \sup_{x^* \in K} |\langle x, x^* \rangle| \right) = \sup_{x^* \in K} \|x^*\|.$$

On the other hand,  $T$  is  $p$ -converging, because if  $(x_n) \in l_p^{\text{weak}}(X)$ , then

$$\|Tx_n\| = \sup_{x^* \in K} |\langle Tx_n, x^* \rangle| = \sup_{x^* \in K} |\langle x_n, x^* \rangle| \rightarrow 0.$$

Therefore  $T$  is compact and so  $T^*: C(K)^* \rightarrow X^*$  is compact. For  $x^* \in K$  define  $\delta_{x^*} \in C(K)^*$  by

$$\delta_{x^*}(f) = f(x^*), \quad f \in C(K).$$

Hence for all  $x \in X$  we have

$$\langle x, T^*(\delta_{x^*}) \rangle = \langle Tx, \delta_{x^*} \rangle = \langle Tx, x^* \rangle = \langle x, x^* \rangle.$$

Then  $T^*(\delta_{x^*}) = x^*$ . Moreover,

$$K = \{T^* \delta_{x^*} : x^* \in K\} = T^* \{\delta_{x^*} : x^* \in K\} \subseteq T^*(B_{C(K)^*}).$$

Since  $T^*$  is compact, we conclude that  $K$  is relatively compact. □

As a corollary, every Banach space  $X \in \mathcal{W}_p$  has property  $p$ -(V). But the converse is not true in general. For example, the Hilbert space  $l_2$  has property 1-(V), but it is not weakly 1-compact, see [6, page 132].

The following characterization of spaces having  $DP_p$  property has an essential role to achieve our next results.

**Theorem 2.10** ([3, Proposition 3.2]). *For a given Banach space  $X$  and  $1 \leq p \leq \infty$  the following are equivalent:*

- (1) *Space  $X$  has the  $DP_p$  property.*
- (2) *If  $(x_n) \in l_p^{\text{weak}}(X)$  and  $(x_n^*) \in c_0^{\text{weak}}(X^*)$ , then  $\langle x_n, x_n^* \rangle \rightarrow 0$ .*

**Corollary 2.11.** *If  $X$  has the  $DP_p$  property and  $Y \in \mathcal{W}_p$ , then  $L(X, Y^*) = C_p(X, Y^*)$ .*

PROOF: Assume that  $T \in L(X, Y^*)$  and  $(x_n) \in l_p^{\text{weak}}(X)$ . Let  $(y_n) \in l_p^{\text{weak}}(Y)$ . Since  $(T^*(y_n))$  is weakly null, then  $\langle Tx_n, y_n \rangle = \langle x_n, T^*y_n \rangle \rightarrow 0$  by Theorem 2.10. It follows that  $(Tx_n)$  is an  $L_p$ -set. Therefore Theorem 2.9 implies that  $(Tx_n)$  is relatively compact, and so  $T \in C_p(X, Y)$ . □

**Corollary 2.12.** *If a Banach space  $X$  has the  $DP_p$  property and  $Y^* \in \mathcal{W}_p$ , then  $L(X, Y) = C_p(X, Y)$ .*

PROOF: Let  $T \in L(X, Y)$  and let  $(x_n) \in l_p^{\text{weak}}(X)$ . Then by previous corollary,  $(Tx_n)$  is an  $L_p$ -set in  $Y^{**}$ . Hence an appeal to Theorem 2.9 shows that this sequence is relatively compact in  $Y^{**}$  and so in  $Y$ . □

Note that if a Banach space  $X \in \mathcal{W}_p$  and for some Banach space  $Y$ ,  $T \in C_p(X, Y)$ , then for each sequence  $(x_n)$  in  $B_X$ , there is a subsequence  $(x_{n_k})$  weakly  $p$ -convergent to some  $x \in B_X$ , and so  $\|Tx_{n_k} - Tx\| \rightarrow 0$  as  $k \rightarrow \infty$ . Therefore  $T$  is compact. This will be used in the proof of the following theorem.

**Theorem 2.13.** *For Banach spaces  $X$  and  $Y$  such that  $X, Y^* \in \mathcal{W}_p$  the following assertions are equivalent*

- (1) *For each  $T \in L(X, Y^{**})$  and each sequence  $(x_n) \in l_p^{\text{weak}}(X)$ ,  $(Tx_n)$  is an  $L_p$ -set.*
- (2) *Every  $T \in L(X, Y^{**})$  is compact.*
- (3) *Every  $T \in L(Y^*, X^*)$  is compact.*

PROOF: (1)  $\Rightarrow$  (2) Let  $T \in L(X, Y^{**})$  and  $(x_n) \in l_p^{\text{weak}}(X)$ . Then  $(Tx_n)$  is an  $L_p$ -set in  $Y^{**}$ . Since  $Y^* \in \mathcal{W}_p$ , by Theorem 2.9,  $(Tx_n)$  is a relatively compact set. Therefore  $\|Tx_n\| \rightarrow 0$ . Hence  $T \in C_p(X, Y^{**})$  and we are done since  $X \in \mathcal{W}_p$ .

(2)  $\Rightarrow$  (3) If  $T \in L(Y^*, X^*)$ , then  $T^*|_X \in L(X, Y^{**})$  is compact. Therefore  $T = (T^*|_X)^*|_{Y^*} : Y^* \rightarrow X^*$  is compact.

(3)  $\Rightarrow$  (1) Let  $T \in L(X, Y^{**})$  and  $(x_n) \in l_p^{\text{weak}}(X)$  such that  $(Tx_n)$  is not an  $L_p$ -set. So there are  $\varepsilon > 0$  and  $(y_n^*) \in l_p^{\text{weak}}(Y^*)$  such that (by passing to a subsequence, if necessary)

$$|\langle Tx_n, y_n^* \rangle| > \varepsilon, \quad \forall n \in \mathbb{N}.$$

Hence,

$$|\langle T^*|_{Y^*}(y_n^*), x_n \rangle| > \varepsilon, \quad \forall n \in \mathbb{N}.$$

Since  $T^*|_{Y^*}$  is compact, there is a subsequence  $(y_{n_k}^*)_k$  such that  $(T^*|_{Y^*}(y_{n_k}^*))$  is norm null and we have a contradiction.  $\square$

**Theorem 2.14.** *Let  $X$  be a Banach space and  $X \in \mathcal{W}_p$  and let  $Y$  be a Banach space with property  $p$ -(V). If  $L(X, Y^*) = \Pi_p(X, Y^*)$ , then  $X \otimes_\pi Y$  has property  $p$ -(V).*

PROOF: Let  $H$  be an  $L_p$ -subset of  $(X \otimes_\pi Y)^* = L(X, Y^*)$  and  $(h_n)$  be a sequence in  $H$ . If  $(x_n) \in l_p^{\text{weak}}(X)$  we claim that  $\|h_n(x_n)\|_{Y^*} \rightarrow 0$ . If this were false, there would exist  $\varepsilon > 0$ ,  $(h_{n_k}), (x_{n_k})$  and  $(y_k) \subseteq B_Y$  such that

$$|\langle h_{n_k}(x_{n_k}), y_k \rangle| > \varepsilon$$

for all  $k \in \mathbb{N}$ . On the other hand, for every  $T \in (X \otimes_\pi Y)^* = L(X, Y^*)$ ,

$$\sum_{k=1}^{\infty} |T(x_{n_k} \otimes y_k)|^p = \sum_{k=1}^{\infty} |\langle Tx_{n_k}, y_k \rangle|^p \leq \sum_{k=1}^{\infty} \|Tx_{n_k}\|^p < \infty,$$

since  $T$  is  $p$ -summing and  $(x_{n_k}) \in l_p^{\text{weak}}(X)$ . Hence  $(x_{n_k} \otimes y_k) \in l_p^{\text{weak}}(X \otimes_\pi Y)$  and so by assumption on  $H$ ,  $\langle h_{n_k}(x_{n_k}), y_n \rangle \rightarrow 0$  which is a contradiction. Similarly we can prove that if  $(y_n) \in l_p^{\text{weak}}(Y)$ , then  $\|h_n^*(y_n)\|_{X^*} \rightarrow 0$ .

If  $y^{**} \in Y^{**}$ , then the sequence  $(h_n^*(y^{**})) \subseteq X^*$  is an  $L_p$ -set. Because, If  $(x_n) \in l_p^{\text{weak}}(X)$ , then

$$|\langle h_n^*(y^{**}), x_n \rangle| = |\langle h_n(x_n), y^{**} \rangle| \leq \|y^{**}\| \|h_n(x_n)\|_{Y^*} \rightarrow 0.$$

Hence Theorem 2.9 implies that  $(h_n^*(y^{**}))$  is a relatively compact set. By passing to a subsequence, we may assume that this sequence is weakly convergent to some  $x^*$ . Similarly, we can prove that for all  $x^{**} \in X^{**}$ , the sequence  $(h_n^{**}(x^{**}))$  is an  $L_p$ -set and so is a relatively weakly compact subset of  $Y^{***}$ , by virtue of Theorem 2.8. But  $h_n : X \rightarrow Y^*$  is compact for all  $n \in \mathbb{N}$ ; so  $(h_n^{**}(x^{**})) \subseteq Y^*$ .

Now consider two arbitrary subsequences  $(h_{n_k}^{**}(x^{**}))$  and  $(h_{n_p}^{**}(x^{**}))$  which are weakly convergent to  $z_1$  and  $z_2$ , respectively. It is easy to see that  $z_1 = z_2$ . Indeed, if  $y^{**} \in Y^{**}$ , then we have

$$\begin{aligned} \langle z_1, y^{**} \rangle &= \lim_k \langle h_{n_k}^{**}(x^{**}), y^{**} \rangle = \lim_k \langle x^{**}, h_{n_k}^*(y^{**}) \rangle \\ &= \lim_n \langle x^{**}, h_n^*(y^{**}) \rangle = \lim_p \langle x^{**}, h_{n_p}^*(y^{**}) \rangle \\ &= \lim_p \langle h_{n_p}^{**}(x^{**}), y^{**} \rangle = \langle z_2, y^{**} \rangle. \end{aligned}$$

Hence there is  $h_0(x^{**}) \in Y^*$  such that  $h_0(x^{**}) = w - \lim_n h_n^{**}(x^{**})$ . Now we claim that  $h_0$  is  $w^*$ - $w^*$  continuous. In fact, we show that  $h_0$  is  $w^*$ - $w^*$  continuous from  $X^{**}$  into  $Y^*$ . Let  $(x_\alpha^{**})$  be a  $w^*$ -null net in  $X^{**}$  and  $y^{**} \in Y^{**}$ . Since

$$\langle h_0(x_\alpha^{**}), y^{**} \rangle = \lim_n \langle h_n^{**}(x_\alpha^{**}), y^{**} \rangle = \lim_n \langle x_\alpha^{**}, h_n^*(y^{**}) \rangle = \langle x_\alpha^{**}, x^* \rangle,$$

we observe that  $\lim_\alpha \langle h_0(x_\alpha^{**}), y^{**} \rangle = 0$  and  $h_0$  is  $w^*$ - $w^*$  continuous. Now consider  $h \in L(X, Y^*) = \Pi_p(X, Y^*)$  defined by  $h = h_0|_X$ . If  $x^{**} \in X^{**}$ , then there is a net  $(x_\alpha) \subset X$  which is  $w^*$ -converging to  $x^{**}$ . So we obtain

$$h^{**}(x^{**}) = w^* - \lim_\alpha h^{**}(x_\alpha) = w^* - \lim_\alpha h(x_\alpha) = w^* - \lim_\alpha h_0(x_\alpha) = h_0(x^{**}).$$

Therefore  $h^{**} = h_0$ . By the construction of  $h_0$  we thus have  $\lim_n \langle h_n^{**}(x^{**}), y^{**} \rangle = \langle h^{**}(x^{**}), y^{**} \rangle$  for all  $x^{**} \in X^{**}$  and  $y^{**} \in Y^{**}$ . Corollary 4.1.5 of [21] implies that  $h_n \xrightarrow{w} h$  in  $L(X, Y^*)$ . Therefore  $H$  is relatively weakly compact.  $\square$

Recall that a Banach space  $X$  has the  $p$ -Gelfand–Phillips ( $p$ -GP) property if every limited weakly  $p$ -compact subset of  $X$  is relatively compact, see [13]. It should be noted that this notion has been called “limited  $p$ -Schur property” in [7]. More precisely,  $X$  has the  $p$ -GP property if and only if every limited sequence  $(x_n) \in l_p^{\text{weak}}(X)$  is norm null. It is easy to see that every Banach space with the  $p$ -Schur property and every Banach space with GP property is  $p$ -GP for all  $1 \leq p \leq \infty$ . Moreover,  $X$  has the GP property if and only if every limited weakly null sequence in  $X$  is norm null, see e.g., [11]. Therefore the  $\infty$ -GP property is equivalent to the GP property.

If  $X$  is a  $p$ -GP space with the  $DP_p^*$  property, then  $X$  has the  $p$ -Schur property. Indeed, if  $(x_n) \in l_p^{\text{weak}}(X)$ , then by the  $DP_p^*$  property of  $X$ , we conclude that  $\langle x_n, x_n^* \rangle \rightarrow 0$  for all  $w^*$ -null sequence  $(x_n^*) \subset X^*$ . Therefore  $(x_n)$  is limited and so  $\|x_n\| \rightarrow 0$ . Furthermore, if  $X \in \mathcal{W}_p$  has the  $p$ -GP property, then  $X$  has the GP property.

By a similar argument of Proposition 2.6, it is evident that a Banach space  $X$  has the  $p$ -GP property if and only if every bounded subset of  $X^*$  is an  $L_p$ -limited set. Since  $l_1$  has the  $p$ -Schur property for all  $1 \leq p \leq \infty$  so  $B_{l_1}$  is an  $L_p$ -limited

set which is not weakly compact. Also,  $l_2$  has the 1-Schur property. It follows that  $B_{l_2}$  is an  $L_1$ -limited set, while we know that it is not weakly 1-compact, see [6, page 132].

**Theorem 2.15.** *For a Banach space  $X$ , the following are equivalent.*

- (1) Every  $L_p$ -limited set in  $X^*$  is weakly compact.
- (2) For each Banach space  $Y$ ,  $C_{1p}(X, Y) = W(X, Y)$ .
- (3)  $C_{1p}(X, l_\infty) = W(X, l_\infty)$ .

PROOF: (1)  $\Rightarrow$  (2) If  $T \in C_{1p}(X, Y)$ , then  $T^*(B_{Y^*})$  is an  $L_p$ -limited set in  $X^*$ . So by hypothesis, it is weakly compact and so  $T^*$  is a weakly compact operator. Therefore  $T \in W(X, Y)$ .

(2)  $\Rightarrow$  (3) It is clear.

(3)  $\Rightarrow$  (1) If (1) does not hold, then there is an  $L_p$ -limited subset  $A$  of  $X^*$  which is not weakly compact. So there is a sequence  $(x_n^*) \subset A$  with no weakly  $p$ -convergent subsequence. Now let  $T: X \rightarrow l_\infty$  be defined by

$$Tx = (\langle x, x_n^* \rangle), \quad x \in X.$$

As  $(x_n^*)$  is  $L_p$ -limited set, for every limited sequence  $(x_m) \in l_p^{\text{weak}}(X)$  we have

$$\|Tx_m\| = \sup_n |\langle x_m, x_n^* \rangle| \rightarrow 0$$

as  $m \rightarrow \infty$ . Thus  $T \in C_{1p}(X, l_\infty)$ . Clearly  $T^*(e_n^*) = x_n^*$  for all  $n \in \mathbb{N}$ . Hence  $T^*$  is not weakly  $p$ -compact. So  $T \notin W(X, l_\infty)$ . □

It is clear that the class  $C_{1p}(X, Y)$  is a closed linear subspace of  $L(X, Y)$  which has the ideal property. In sequel, we prove that the operator ideal  $C_{1p}$  of all limited  $p$ -converging operators between Banach spaces, by meaning of [5], is injective but it is not surjective.

**Theorem 2.16.** *The operator ideal  $C_{1p}$  is injective but not surjective.*

PROOF: Suppose that  $T \in L(X, Y)$  and  $J: Y \rightarrow Z$  is an isometric embedding, such that  $JT$  is limited  $p$ -converging. If  $(x_n) \in l_p^{\text{weak}}(X)$  is limited, then  $\|JT x_n\| \rightarrow 0$  and so  $\|T x_n\| \rightarrow 0$  as  $n \rightarrow 0$ . Therefore  $T$  belongs to  $C_{1p}$ . Hence  $C_{1p}$  is injective.

Now assume that  $X$  is a Banach space without the  $p$ -GP property. Then the identity operator  $i: X \rightarrow X$  is not limited  $p$ -converging. On the other hand, one define  $\Phi: l_1(B_X) \rightarrow X$  via

$$\Phi(\varphi) = \sum_{x \in B_X} \varphi(x)x, \quad \varphi \in l_1(B_X).$$

It is easy to see that  $\Phi$  is a surjective operator. Thus the Schur property and so the  $p$ -GP property of  $l_1(B_X)$  imply that the operator  $\Phi = i\Phi$  belongs to  $C_{1p}$ , while the identity operator  $i$  does not. Hence  $C_{1p}$  is not surjective.  $\square$

**Theorem 2.17.** *The Banach space  $X$  has the  $p$ -GP property if and only if  $L(X, Y) = C_{1p}(X, Y)$  for every Banach space  $Y$ .*

PROOF: Suppose that  $X$  has the  $p$ -GP property. If  $T \in L(X, Y)$  and  $(x_n) \in l_p^{\text{weak}}(X)$  is a limited sequence, then  $\|x_n\| \rightarrow 0$ . Hence  $\|Tx_n\| \rightarrow 0$ .

Conversely, if  $Y = X$ , then the identity operator on  $X$  belongs to  $C_{1p}$ . Therefore  $X$  has the limited  $p$ -Schur property.  $\square$

Similarly, we can prove that the Banach space  $X$  has the  $p$ -GP property if and only if  $L(Y, X) = C_{1p}(Y, X)$  for every Banach space  $Y$ .

**Theorem 2.18.** *The Banach space  $X$  has the  $DP_p^*$  property if and only if  $L(X, Y) = C_p(X, Y)$  for every  $p$ -GP Banach space  $Y$ .*

PROOF: Assume that  $X$  has the  $DP_p^*$  property and  $Y$  is a  $p$ -GP space. Consider limited sequence  $(x_n) \in l_p^{\text{weak}}(X)$ . Then for every operator  $T \in L(X, Y)$ ,  $(Tx_n) \in l_p^{\text{weak}}(Y)$  is a limited sequence. So  $\|T(x_n)\| \rightarrow 0$  and by Theorem 2.3  $T \in C_p(X, Y)$ .

Conversely suppose that  $Y = c_0$ ,  $(x_n) \in l_p^{\text{weak}}(X)$  and  $(x_n^*)$  is a weak\* null sequence in  $X^*$ . Define  $T: X \rightarrow c_0$  by  $Tx = (\langle x, x_n^* \rangle)$ . Then by assumption,  $\|Tx_n\| \rightarrow 0$ . Therefore

$$|\langle x_n, x_n^* \rangle| \leq \sup_k |\langle x_n, x_k^* \rangle| = \|Tx_n\| \rightarrow 0$$

as  $n \rightarrow \infty$ . By Theorem 2.2,  $X$  has the  $DP_p^*$  property.  $\square$

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