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A priori bounds for solutions of parabolic problems and applications

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Abstract. We review some recent results concerning a priori bounds for solutions of superlinear parabolic problems and their applications.

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1 Introduction

In this paper we study mainly parabolic problems of the form

$$\begin{cases} u_{t} - \Delta u = f(x, u), & x \in \Omega, \ t > 0, \\ u = 0, & x \in \Gamma, \ t > 0, \\ u(x, 0) = u_{0}(x), & x \in \Omega, \end{cases}$$
 (1.1)

where Ω is a domain in \mathbb{R}^n with a smooth compact boundary Γ and f is a Carathéodory function which is superlinear in u. Some generalizations and modifications of (1.1) are also considered.

It is well known that under suitable assumptions on f the problem (1.1) is well posed in an appropriate Banach space X ($X = L_{\infty}(\Omega)$, for example). Denote by $u(t, u_0)$ the solution of this problem and let $T_{\max}(u_0)$ be its maximal existence time. Assume $\delta > 0$. Our main aim is to show that for a large class of nonlinearities, the norm of $u(t, u_0)$, $t \in [0, T_{\max}(u_0) - \delta)$, can be bounded by a constant which

This is author's version of the invited lecture.

depends only on δ and on the norm of the initial condition u_0 . In other words, we are interested in the estimate

$$||u(t, u_0)||_X \le C(\delta, c_0)$$
 for any $u_0 \in X$ with $||u_0||_X \le c_0$, and any $t < T_{\max}(u_0) - \delta$, (1.2)

where $T_{\text{max}}(u_0) - \delta = \infty$ and $C(\delta, c_0)$ does not depend on δ if $T_{\text{max}}(u_0) = \infty$. Note that under some circumstances global solutions are bounded even if the estimate (1.2) does not hold for these solutions, see V. Galaktionov and J.L. Vázquez [16] or M. Fila and P. Poláčik [13]. For a survey on the boundedness of global solutions we refer to [12].

We shall also mention some results on universal bounds of the form

$$||u(t, u_0)||_X \le C(\delta_1, \delta_2)$$
 for any $t \in (\delta_1, T_{\text{max}}(u_0) - \delta_2)$, (1.3)

where the constant $C(\delta_1, \delta_2)$ does not depend on u_0 at all.

The bound (1.2) has several important consequences. It implies the continuity of the maximal existence time $T_{\text{max}}: X \to (0, \infty]$, it plays a crucial role in establishing the blow-up rate of blowing-up solutions, in the study of domains of attraction of stable equilibria and connecting orbits between various equilibria. It can also be used for the proof of existence of multiple stationary and periodic solutions.

Let us first discuss the model case $f(x,u)=|u|^{p-1}u,\, p>1,\, \varOmega\subset\mathbb{R}^n$ bounded. Set

$$p_S := (n+2)/(n-2)$$
 if $n \ge 3$, $p_S := \infty$ otherwise.

The bounds (1.2) and (1.3) and their proofs are strongly related to the a priori estimates for positive stationary solutions of (1.1) which were proved in the subcritical case $p < p_S$ by D.G. de Figueiredo, P.-L. Lions and R.D. Nussbaum [11] and B. Gidas and J. Spruck [17] (partial results were obtained before by R.E.L. Turner [36], R.D. Nussbaum [26], H. Brézis and R.E.L. Turner [5]). Due to the result of S.I. Pohozaev [27], the condition $p < p_S$ is optimal in these estimates (at least if Ω is starshaped). The bound (1.2) for the time-dependent solutions of this model problem was derived for any $p < p_S$ by the author in [28] under the assumption $T_{\text{max}}(u_0) = \infty$. Partial results requiring a stronger condition on p and/or nonnegativity of p_S were previously obtained by W.-M. Ni, P.E. Sacks and J. Tavantzis [25], T. Cazenave and P.-L. Lions [6] and Y. Giga [18]. The condition $p < p_S$ is optimal again.

Considering a general superlinear function f, the results on a priori estimates for positive stationary solutions mentioned above are far from satisfactory: they require either Ω to be convex or various technical conditions on f (either monotonicity of $u\mapsto f(x,u)u^{-p_S}$ in [11] or a precise asymptotic behavior of f(x,u) as $u\to +\infty$ in [17]). From this point of view it is interesting to know to what extent can one generalize the results of [28] concerning the estimate (1.2) for the time-dependent solutions. The approach in [28] is based on a bootstrap argument, interpolation, energy and maximal regularity estimates. It turns out that the assumption $T_{\rm max}(u_0)=\infty$ and the precise asymptotic behavior of the nonlinearity f

as $|u| \to \infty$ are not important for this approach. Moreover, the results remain true for more general differential operators, boundary conditions and nonlinearities.

In Section 2 we discuss the estimate (1.2) for (1.1) and some of its consequences (including continuity of $T_{\rm max}$ and existence of nontrivial equilibria) in the case of a bounded spatial domain Ω . In Section 3 we study the unbounded domain case. Section 4 is devoted to time-dependent nonlinearities and the existence of periodic solutions. In Section 5 we briefly mention some results on the universal bound (1.3) and initial and final blow-up rates. In Sections 6,7 and 8 we deal with nonlinear boundary conditions, nonlocal problems and problems involving measures, respectively. For one-dimensional problems we refer to [31, Section 6] and [7, Section 5].

2 Bounded domains

Denote $F(x,u) := \int_0^u f(x,v) dv$ and assume that there exist positive constants $p_1, p_2, d_1, d_2, d_3, d_4, \beta, r$ and nonnegative functions

$$a_1 \in L_{(p_1+1)/p_1}(\Omega), \quad a_2 \in L_{(p_2+1)/p_2}(\Omega), \quad a_3 \in L_1(\Omega), \quad a_4 \in L_{\beta}(\Omega)$$
 (2.1)

such that

$$1 < p_1 \le p_2 < p_S, \quad d_3 > 2, \quad \beta > n/2, \quad r < p_S,$$
 (2.2)

$$|f(x,u)| \le d_2|u|^{p_2} + a_2(x), \tag{2.3}$$

$$f(x,u)\operatorname{sign}(u) \ge d_1|u|^{p_1} - a_1(x),$$
 (2.4)

$$f(x,u)u \ge d_3F(x,u) - a_3(x),$$
 (2.5)

$$|f(x,u) - f(x,v)| \le d_4(a_4(x) + |u|^{r-1} + |v|^{r-1})|u - v|.$$
 (2.6)

Assume also that either $p_2 < p_{CL}$ or

$$p_2 - p_1 < \kappa_1(p_2), \tag{2.7}$$

where $\kappa_1:(1,p_S)\to(0,\infty)$ is defined in [31] (cf. Figures 1 and 2 below) and

$$p_{CL} := (3n+8)/(3n-4) \text{ if } n \ge 2, \quad p_{CL} := \infty \text{ if } n = 1.$$

Set

$$E(u) := \frac{1}{2} \int_{\Omega} |\nabla u|^2 dx - \int_{\Omega} F(x, u) dx.$$

Then we have the following theorem (see [31] and [32]).

Theorem 2.1. Consider the problem (1.1). Let Ω be a smoothly bounded domain in \mathbb{R}^n . Assume (2.1)-(2.6) and either $p_2 < p_{CL}$ or (2.7). Set $X := H_0^1(\Omega)$. Then the estimate (1.2) is true, $T_{\text{max}} : X \to (0, \infty]$ is continuous and

$$E(u(t, u_0)) \to -\infty$$
 as $t \to T_{\text{max}}(u_0) -$ if $T_{\text{max}}(u_0) < \infty$. (2.8)

If, in addition, $\beta > n$, $f(\cdot,0) \in L_{\beta}(\Omega)$, u_s is an asymptotically stable equilibrium of (1.1) in X and D_A denotes its domain of attraction,

$$D_A = \{u_0 \in X \ u(t, u_0) \ \text{exists globally,} \ u(t, u_0) \to u_s \ \text{in} \ X \ \text{as} \ t \to \infty\},$$

then there exist stationary solutions $u_+, u_-, \tilde{u} \in \partial D_A$ of (1.1) such that $u_+ > u_s > u_-$ and $\tilde{u} - u_s$, $\tilde{u} - u_+$, $\tilde{u} - u_-$ change sign.

Remarks 2.1. (i) The condition (2.7) in Theorem 2.1 seems to be of technical nature. In fact, if

$$f(x,u)u \le d_5F(x,u) + a_5(x), \qquad d_5 > 0, \ a_5 \in L_1(\Omega),$$

then this assumption can be replaced by

$$p_2 - p_1 < \kappa_2(p_2), \tag{2.9}$$

where $\kappa_2:(1,p_S)\to(0,\infty)$ is defined in [31], $\kappa_2>\kappa_1$ (see Figures 1 and 2). The same is true for all assertions in the subsequent sections.

In Figures 1 and 2 we set p(n) := 1 + 4/n,

$$p^* := \begin{cases} \frac{9n^2 - 4n + 16\sqrt{n(n-1)}}{(3n-4)^2} & \text{if } n \ge 2, \\ +\infty & \text{if } n = 1. \end{cases}$$

Note that the condition (2.7) or (2.9) is superfluous if $p \le p(n)$ or $p < p^*$, respectively.

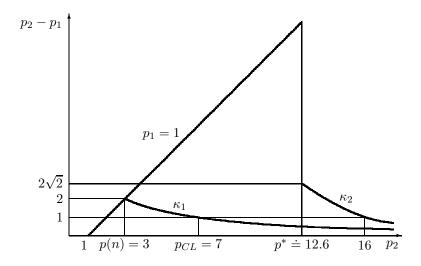


Figure 1. Functions κ_1, κ_2 for n=2

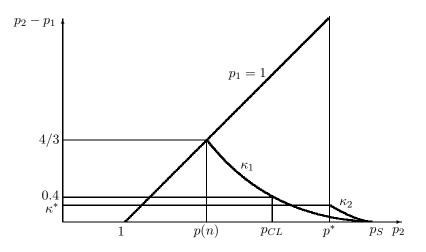


Figure 2. Functions κ_1, κ_2 for n = 3: $p(n) = 2 + 1/3, p_{CL} = 3.4, p^* \doteq 4.3, p_S = 5, \kappa^* \doteq 0.27$

- (ii) The property (2.8) plays an important role in the proof of complete blow-up, see [2]. This property was proved before by H. Zaag [37] for the model case $f(x, u) = |u|^{p-1}u$ under additional assumptions p(3n-4) < 3n+8 or $u \ge 0$.
- (iii) Continuity of $T_{\rm max}$ for nonnegative solutions, bounded domains Ω and convex functions f=f(u) with subcritical growth was previously proved by P. Baras and L. Cohen [2]. Note that the function $T_{\rm max}$ need not be continuous in the supercritical case, due to a result of V. Galaktionov and J.L. Vázquez [16]. More precisely, the set $\{u_0 T_{\rm max}(u_0) = \infty\}$ need not be closed.
- (iv) If $u_s = 0$ in Theorem 2.1 then this theorem guarantees the existence of a sign-changing equilibrium \tilde{u} of (1.1) lying on ∂D_A . Similar assertions (without the information $\tilde{u} \in \partial D_A$) were proved by variational and topological methods by many authors: see the discussion in [32], for example.

3 Unbounded domains

Let F and E be the same as in Section 2. Assume that there exist positive constants $p_1, p_2, d_1, d_2, d_3, d_4, \beta, r$ satisfying (2.2) and nonnegative constants e_1, C_1 such that

$$|f(x,u)| \le d_2(|u|^{p_2} + |u|) + a_2(x),$$
 (3.1)

$$f(x,u)\operatorname{sign}(u) \ge d_1|u|^{p_1} - e_1|u| - a_1(x),$$
 (3.2)

$$f(x,u)u \ge d_3 F(x,u) + C_1 u^2 - a_3(x), \tag{3.3}$$

$$|f(x,u) - f(x,v)| \le (a_4(x) + d_4(1 + |u|^{r-1} + |v|^{r-1}))|u - v|,$$
 (3.4)

$$f(\cdot,0) \in L_{\beta}(\Omega), \tag{3.5}$$

where a_1, a_2, a_3, a_4 satisfy (2.1). Notice that the assumptions (3.1)–(3.4) are equivalent to (2.3)–(2.6) if Ω is bounded. The conditions above guarantee, in particular, that the problem (1.1) is well posed in $H_0^1(\Omega)$. Denote by $T_{\max}(u_0)$ the maximal existence time of the solution in $H_0^1(\Omega)$. Then we have the following theorem (see [31]).

Theorem 3.1. Let $\Omega \subset \mathbb{R}^n$ have a smooth compact boundary (or Ω be a halfspace). Assume (2.1)–(2.2), (3.1)–(3.5) and (2.7). Set

$$X := H_0^1(\Omega) \cap L_{(p_2+1)/p_2}(\Omega) \cap L_{\infty}(\Omega),$$

assume $u_0 \in X$ and let

$$T_{\max}^X(u_0) := \sup\{t \in [0, T_{\max}(u_0)) \ u(\tau) \in X \ for \ \tau \le t\}.$$

Then the following holds:

- (i) $T_{\max}^{X}(u_0) = T_{\max}(u_0)$, $T_{\max}: X \to (0, \infty]$ is continuous and (2.8) is true. (ii) Let $C_1 > 0$ in (3.3) and let there exist constants $d_6, \lambda > 0$, $\alpha \in (1, p_2)$, a nonnegative function $a_6 \in L_{(p_2+1)/p_2}(\Omega)$ and a bounded measurable function $V: \Omega \to [\lambda, \infty)$ such that

$$|f(x,v) + V(x)v| \le d_6(|v|^{p_2} + |v|^{\alpha}) + a_6(x).$$

Let $u_0 \in X$ and $T_{\max}(u_0) = \infty$. Then there exists a constant $C = C(\|u_0\|_X)$ such that

$$||u(t)||_X \le C \qquad \text{for any } t \ge 0. \tag{3.6}$$

Remarks 3.1. (i) We are not able to show the bound (1.2) if $T_{\text{max}}(u_0) < \infty$. Consequently, the proof of the continuity of T_{max} requires some additional arguments (using a refinement of the concavity method due to H.A. Levine [23]). Note that all previous results concerning the estimate (3.6) and the continuity of T_{max} required a stronger assumption on the growth of f or they were restricted to nonnegative solutions and nonlinearities with a precise asymptotic behavior (see C. Fermanian Kammerer, F. Merle and H. Zaag [10], for example).

(ii) If $\lambda > 0$ and $1 then <math>f(x, u) := |u|^{p-1}u - \lambda u$ satisfies all assumptions of Theorem 3.1(ii).

4 Periodic solutions

In this section we study a priori estimates of solutions and existence of positive periodic solutions of the problem

$$\begin{cases} u_{t} - \Delta u = m(t)f(u), & x \in \Omega, \ t > 0, \\ u = 0, & x \in \Gamma, \ t > 0, \\ u(x, 0) = u_{0}(x), & x \in \Omega, \end{cases}$$
(4.1)

where Ω is a smoothly bounded domain in \mathbb{R}^n , m>0 is T-periodic and f(u)= $|u|^{p-1}u$, 1 . We refer to [32] for the case of a general superlinear functionf = f(u) and to [22] for the case f = f(x, u).

Theorem 4.1 (see [32]). Let $\Omega \subset \mathbb{R}^n$ be smoothly bounded, $m \in W^1_{\infty}(\mathbb{R})$ be T-periodic, $m(t) \geq m_0 > 0$ for any t, $f(u) = |u|^{p-1}u$, $1 . Set <math>X := H^1_0(\Omega)$.

(i) Let u be the solution of (4.1), $T_{\max}(u_0) \geq T + \delta$, $\delta > 0$. Then there exists a constant $C = C(\|u_0\|_X, \delta, T)$ such that

$$||u(t)||_X \leq C$$
 for any $t \in [0, T]$.

(ii) Assume

$$\frac{(m'(t))^{-}}{m(t)} < \frac{2n - (n-2)(p+1)}{r^{2}(\Omega)} \qquad \text{for a.a. } t \in (0,T), \tag{4.2}$$

where $r(\Omega)$ is the radius of the smallest ball containing Ω and $a^- := \max(0, -a)$. Then there exists at least one positive T-periodic solution of (4.1) and there exists C > 0 such that any positive T-periodic solution of (4.1) satisfies

$$||u(t)||_X \leq C$$
 for any $t \in [0, T]$.

Remarks 4.1. (i) The technical assumption (4.2) is superfluous if p(n-2) < n.

(ii) Existence of positive periodic solutions of (4.1) with $f(u) = |u|^{p-1}u$ (and more general nonlinearities) was obtained before by M.J. Esteban in [8] and [9] under the additional assumptions (3n-4)p < 3n+8 and p(n-2) < n, respectively.

(iii) Assertion (i) in Theorem 4.1 is based on the fact that the functional

$$V(u(t)) = \frac{1}{2} \int_{\Omega} |\nabla u(t)|^2 dx - m(t) \int_{\Omega} F(u(t)) dx$$

is "almost" a Lyapunov functional for (4.1). A Pohozaev's type identity plays a significant role in the proof of (ii) (cf. [11] in the elliptic case).

(iv) A different approach to problems without variational structure can be found in [33].

5 Universal bounds and blow-up rates

In this section we are interested in the universal bound (1.3) for positive solutions of (1.1) (note that this bound cannot be true for all solutions, in general). The following theorem follows from the results in [35].

Theorem 5.1. Consider the problem (1.1) with $\Omega \subset \mathbb{R}^n$ being (smoothly) bounded and convex, $f(x,u) = |u|^{p-1}u$, $1 , <math>u_0 \ge 0$. Let p(n-3) < n-1 if $n \ge 5$ and $T_{\max}(u_0) \ge T_0 > 0$. Set $X := L_{\infty}(\Omega)$. Then there exist $C(p, \Omega, T_0) > 0$ and $\alpha = \alpha(n,p) > 0$ such that

$$||u(t)||_X \le C(p, \Omega, T_0)(1 + t^{-\alpha} + (T_{\max}(u_0) - t)^{-1/(p-1)})$$

for any $t \in (0, T_{\max}(u_0))$, where $(T_{\max}(u_0) - t)^{-1/(p-1)} := 0$ if $T_{\max}(u_0) = \infty$.

Remarks 5.1. (i) The convexity of Ω is needed only for the estimate of u(t) close to $T_{\max}(u_0)$. The assumption p < (n-1)/(n-3) for $n \ge 5$ seems to be of technical nature.

- (ii) If p < 1 + 2/(n+1) then one can choose $\alpha = (n+1)/2$ in Theorem 5.1 and this choice is optimal. Note that this initial blow-up rate exponent is different from the corresponding exponent for the homogeneous Neumann problem (see [35]).
- (iii) Due to the result of M.-F. Bidaut-Véron in [4] concerning the Cauchy problem, one can conjecture that the choice $\alpha = 1/(p-1)$ should be possible (and optimal) for $p \ge 1 + 2/(n+1)$ but this seems to be an open problem.
 - (iii) The (final) blow-up rate estimate

$$||u(t)||_X \le C(p, \Omega, u_0)(T_{\text{max}}(u_0) - t)^{-1/(p-1)}$$
 (5.1)

(where C depends on $u_0!$) is true also for sign-changing solutions and any $p \in (1, p_S)$ if $\Omega = \mathbb{R}^n$. This follows from a very recent result of Y. Giga, S. Matsui and S. Sasayama based on the approach in [28]. If p(3n-4) < 3n+8 or $u_0 \ge 0$ and $p < p_S$ then (5.1) was proved by Y. Giga and R.V. Kohn [19] for both unbounded and bounded convex domains. On the other hand, it is known that such an estimate fails, in general, for $p \ge p_S$, see the results of S. Filippas, M.A. Herrero and J.J.L. Velázquez in [15], [20] and [21]. Concerning universal blow-up rate estimates for positive solutions in unbounded domains we refer to J. Matos and Ph. Souplet [24].

(iv) First results concerning universal bounds for global positive solutions of (1.1) with $f(x, u) = |u|^{p-1}u$ and Ω bounded were obtained by M. Fila, Ph. Souplet, F. Weissler in [14] and the author in [30].

6 Nonlinear boundary conditions

In this section we study a priori estimates for global solutions of the problem

$$\begin{cases} u_t = \Delta u - au, & x \in \Omega, \ t \in (0, \infty), \\ u_\nu = |u|^{q-1}u, & x \in \Gamma, \ t \in (0, \infty), \\ u(x, 0) = u_0(x), & x \in \Omega, \end{cases}$$

$$(6.1)$$

where $a>0,\ q>1,\ \Omega$ is a smoothly bounded domain in \mathbb{R}^n and ν denotes the outer unit normal on the boundary Γ . Since we study only global solutions, the bounds (1.2) and (1.3) have the form

$$||u(t)||_X \le C(||u_0||_X), \quad \text{for any } t > 0,$$
 (6.2)

$$||u(t)||_X \le C(\delta), \quad \text{for any } t > \delta.$$
 (6.3)

The following result is proved in [34].

Theorem 6.1. Consider the problem (6.1). Let $X := H^1(\Omega)$ and q(n-2) < n.

(i) Let $T_{\max}(u_0) = \infty$. If $u_0 \ge 0$ or $q < q^*$, where

$$q^* = \begin{cases} +\infty & \text{if} \quad n = 1, \\ (9n^2 - 22n + 24 + 8\sqrt{4n^2 - 10n + 8})/(3n - 4)^2 & \text{if} \quad n > 1, \end{cases}$$

then the bound (6.2) is true.

(ii) Assume q(n-4) < n-3 if $n \ge 7$. Then the bound (6.3) is true for all global nonnegative solutions of (6.1).

Remarks 6.1. (i) The value $q_S := n/(n-2)$ is the limiting exponent for which the trace operator maps $H^1(\Omega)$ into $L_{q+1}(\Gamma)$. Unlike the case of the homogeneous Dirichlet boundary condition, it is not clear whether the subcriticality condition $q < q_S$ is necessary for the a priori bounds mentioned above.

- (ii) The assumptions $q < q^*$ and q < (n-3)/(n-4) for $n \ge 7$ seem to be of technical nature.
 - (iii) The validity of (1.2) or (1.3) for non-global solutions is open.

7 Nonlocal problems

As already mentioned in the introduction, the estimate (1.2) can be derived for more general problems than (1.1). For example, in [31] we considered two nonlocal problems, which were frequently studied from the point of view of blow-up and global existence in the past decade (see the references in [31]). For both of these problems we derived the estimate (1.2) and the continuity of the blow-up time.

The first problem has the form

$$u_t - \Delta u = f(x, u(x, t)) - \frac{1}{|\Omega|} \int_{\Omega} f(x, u(x, t)) dx, \qquad x \in \Omega, \ t > 0,$$

$$u_{\nu} = 0, \qquad x \in \Gamma, \ t > 0,$$

$$u(x, 0) = u_0(x), \qquad x \in \Omega,$$

where Ω is a smoothly bounded domain in \mathbb{R}^n and $f(x,\cdot)$ is a superlinear function (in particular, one can choose $f(x,u) = |u|^{p-1}u$, $p_S > p > 1$).

The second nonlocal problem has the form

$$u_t - \Delta u = \phi \left(\int_{\Omega} F(u) \, dx \right) f(u), \qquad x \in \Omega, \ t > 0,$$

$$u = 0, \qquad x \in \Gamma, \ t > 0,$$

$$u(x, 0) = u_0(x), \qquad x \in \Omega,$$

where f = F', Ω is a smoothly bounded domain in \mathbb{R}^n and either

$$F(u) = \frac{1}{p+1} |u|^{p+1}, \quad \phi(s) = (s+1)^{-\alpha}, \quad 1$$

or

$$F(u) = e^u$$
, $\phi(s) = s^{-q}$, $0 < q < 1$, $n = 1$.

8 Problems involving measures

Notice that the assumption (2.3) in Section 2 requires $f(\cdot,0) \in L_{(p_2+1)/p_2}(\Omega)$ and that even a stronger assumption on the integrability of $f(\cdot,0)$ is required in the second part of Theorem 2.1. If $f(\cdot,0)$ is less regular then we can still expect similar results as in Theorem 2.1 provided we restrict the range for the exponent p_2 . Consider, for example, the model problem

$$u_t - \Delta u = |u|^{p-1}u + a\mu, \qquad x \in \Omega, \ t > 0,$$
 (8.1)

$$u = 0, \qquad x \in \Gamma, \ t > 0, \tag{8.2}$$

$$u(x,0) = u_0(x), \qquad x \in \Omega, \tag{8.3}$$

where Ω is a bounded domain in \mathbb{R}^n , $n \geq 2$, μ is a positive bounded Radon measure on Ω , a > 0 and 1 < p, p(n-2) < n. The restriction on p is necessary for the local solvability of (8.1).

It is known (see [3] or [1]) that

 $a^* := \sup\{a > 0 \ (8.1) \text{ has a positive equilibrium}\} > 0.$

Set $X := \{u \in W_q^z(\Omega) | u = 0 \text{ on } \Gamma\}$, where

$$-\frac{n}{p} \le z - \frac{n}{q} < 2 - n, \qquad q > 1, \quad z \ge 0, \quad z \ne 1/q.$$

The following result from [29] is restricted to global solutions of (8.1), but we believe that a complete analogon to Theorem 2.1 can be proved.

Theorem 8.1. Let $\Omega, n, p, \mu, a^*, X$ be as above and let $0 < a < a^*$. Let u be a global solution of (8.1). Then $||u(t)||_X \le C(||u_0||_X)$.

Let u_s be the minimal positive stationary solution of (8.1). Then there exist stationary solutions u_+, u_-, \tilde{u} of (8.1) such that $u_+ > u_s > u_-$ and the function $\tilde{u} - u_s$ changes sign.

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